

AUDIT COMMITTEE ACTION SHEET

9th November 2012

Democratic Service Officer - Karen Blong

| <i>Minute Number</i> | <i>Title of Report</i> | <i>Action</i> | <i>Person Responsible</i> | <i>Deadline</i> | <i>Progress</i> |
|---|---|---|---|-----------------|---|
| Matters C/F from previous meetings which have not reached their deadline | | | | | |
| Matters Arising from the meeting of 11th November 2011 | | | | | |
| 61.11/11 | Information Security and GT recommendation on Intruder detection/prevention | Further review and report back from IS manager in 12 months time when there is clarity on Financial Systems/Financial management review. | Bernadette Keene | November 2012 | Report on November 2012 agenda. |
| Matters Arising from the meeting of 3rd February 2012 | | | | | |
| 90. 2/12 | Internal Audit - Anti Fraud Bribery Corruption Strategy & Policy | Measuring the benefit of pro-active fraud work and valuing the deterrent effect of good fraud prevention arrangements is planned for research with networking groups in 2012/13 | Melanie Henchy-McCarthy/ Alison Mullis | January 2013 | Limited response from the West of England Fraud Group 2012. NFA LG Fraud Strategy launch will provide an opportunity to re-assess the assessment of potential fraud loss and the valuation of preventative work. To be included in Fraud update at Jan 2013 |

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|---|--|--|---|-----------------|---|
| Matters Arising from the meeting of 20th April 2012 | | | | | |
| 104.4/12 (iv) | Governors Procedures | (i) A review of the Guidance and Training to Governors via the Development Service is under way. | Melanie Henchy-McCarthy/ Alison Mullis | By year end | To be circulated by year end and brought for information to January 2013 meeting . |
| 05.4/12 | Programme and Project Gateway Reviews Update | The Committee to receive regular update reports, including references to further information on potential internal/external reviews /feasibility of joint working with Neighbouring Local Authorities, and active Executive Member involvement in reviews where appropriate. | Tara Dillon/ Declan Cooney | Ongoing | Further report featuring any “red flags” on November 2012 Agenda for information. |
| 107.4/12 | Draft 2012/13 Work Programme and Core Cities Work Programme Comparison | CIA to examine the Leeds report on “Financial Management Arrangements” to ensure Bristol City Council report on all areas. | Melanie Henchy-McCarthy/ Alison Mullis | By year end | Committee to receive update by year end. To be circulated when complete and on January Agenda as information item |
| 109.4/12 | Government Response to the future of Local Public Audit Consultation | The Committee to receive further clarification, as it becomes available, as to the issues associated with the potential establishment of a Core Cities Mutual Independent Audit Appointments Panel (IAAP) | Melanie Henchy-McCarthy/ Alison Mullis | Ongoing | Response to latest consultation sent. No further information at this time. Committee to receive update in due course. |

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|---|---|--|--|-----------------|--|
| Matters Arising from the meeting of 29th June 2012 | | | | | |
| 12.6/12 | Review of Redcliffe Wharf Developer Selection Process | The Committee to receive a further report on the role of Executive Members and the role of Key Officers in relation to developer selection processes | Melanie Henchy-McCarthy/ Alison Mullis | By year end | In progress. Committee to receive update on November 2012 agenda, |
| 19.6/12 | Benefit Fraud Annual Report 2011-12 | The Committee to receive further information on Proceeds of Crime Act (POCA) financial recovery processes | Teresa Marston/ Melanie Henchy-McCarthy/ Alison Mullis | November 2012 | Committee to receive update as part of Benefit Fraud Investigation Team Half Yearly Report |
| Matters Arising from the meeting of 28th September 2012 | | | | | |
| 35. 9/12 | Audit Fee Letter | Any money saved from the reduced Audit Fee would be reinvested into the existing Internal Audit structure. | Pete Robinson | March 31 2013 | Conclusion subject to Council budgetary constraints. |